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PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

+

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/800,823

Filing Date

March 7, 2001

First Named Inventor

Patrick R. Connelly

Group Art Unit

Examiner Name

Total Number of Pages in This Submission

13

Attorney Docket Number

PRC-1

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☒ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence  
Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s)  
(please identify below):

Copy- previously submitted  
Declaration  
Transmittal Letter  
Certificate of Mailing  
Return Postcard

Remarks

In response to "Notice to File Missing Parts of Nonprovisional

Application," dated 4/18/2001, enclosed please find three (3) sheets of formal drawings. On 4/17/2001, applicant submitted a new "Declaration" executed by Michael L. Weiner and filed within 60 days of the filing date of 3/7/2001, as well as a check for the surcharge for filing the "Declaration" after the initial filing date. A copy of this is enclosed. If any additional fees are required, please deduct from Deposit Account 50-1706.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Howard J. Greenwald; Greenwald & Basch LLP

Signature

Date

4/18/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 4-30-01

Typed or printed name Howard J. Greenwald

Signature

Date

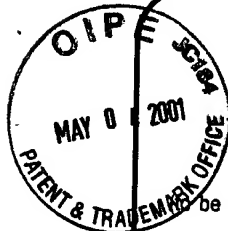
4-30-01

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/21 (6-98)  
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# TRANSMITTAL FORM

be used for all correspondence after initial filing)

Application Number	09/800,823
Filing Date	March 7, 2001
First Named Inventor	Patrick R. Connelly
Group Art Unit	
Examiner Name	
Total Number of Pages in This Submission	6
Attorney Docket Number	PRC-1

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration for Utility or Design Patent Application
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Transmittal Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Certificate of Mailing
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		Check
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		Return Postcard

Remarks: This application was originally filed without the signature of one inventor, Michael L. Weiner. Enclosed please find a newly signed and dated "Declaration" executed by Michael L. Weiner and Patrick R. Connelly and filed within 60 days of the filing date of 3/7/2001, as well as a check for the surcharge for filing the "Declaration" after the initial filing date. If any additional fees are required, please charge them to Deposit Account 50-1706.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Howard J. Greenwald; Greenwald & Basch LLP
Signature	
Date	4/16/01

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 4/17/2001	
Typed or printed name	Howard J. Greenwald
Signature	
Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



USPTO Sticker:



Serial No: 09/800,823 Docket: PRC-1

Title: Implantable Artificial Organ

↓ Physiological Monitoring System

Inventor(s): Patrick R. Connelly

Filed: \_\_\_\_\_

The US Patent and Trademark Office hereby acknowledges, and has stamped hereon the date of receipt, of the items checked below:

<input type="checkbox"/> New Application	<input type="checkbox"/> Utility	<input type="checkbox"/> Design	<input type="checkbox"/> Provisional
<input type="checkbox"/> _____ Sheets of Drawings	<input type="checkbox"/> Formal	<input type="checkbox"/> Informal	
<input checked="" type="checkbox"/> Oath / Declaration	<input type="checkbox"/> Petition for Extension	<input type="checkbox"/> Issue Fee	
<input type="checkbox"/> Assignment	<input type="checkbox"/> Assignment Cover Sheet	<input type="checkbox"/> Letter	
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Claim for Small Entity Status		
<input checked="" type="checkbox"/> Certificate of Mailing	<input checked="" type="checkbox"/> Attorney Transmittal Letter		
<input checked="" type="checkbox"/> Check No. <u>2244</u> \$ <u>65.00</u>	<input type="checkbox"/> Check No. _____ \$ _____		
<input checked="" type="checkbox"/> Fee Transmittal	<input type="checkbox"/> _____		
<input type="checkbox"/> _____	<input type="checkbox"/> _____		



Practitioner's Docket No. PRC-1

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Connelly, Patrick R. ; Weiner, Michael L.

Application No.: 09/800,823

Group No.:

Filed: 03/07/2001

Examiner:

For: "IMPLANTABLE ARTIFICIAL ORGAN AND PHYSIOLOGICAL MONITORING STSYEM"

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF FORMAL DRAWINGS**

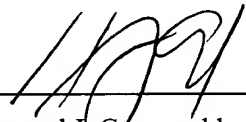
In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION mailed on April 18, 2001 attached please find:

- (a) the formal drawing(s) for this application.  
Number of Sheets: 3

Each sheet of drawing indicates the identifying indicia suggested in Section 1.84(c) on the reverse side of the drawing.

- (b) a copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Date: 4-30-01

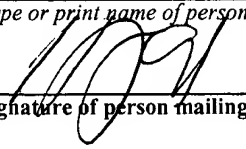
  
Howard J. Greenwald  
Registration No. 24,247  
Greenwald & Basch LLP  
Suite 2490  
349 West Commercial Street  
East Rochester, NY 14445  
US  
716-387-0280  
Customer No. 27157

**CERTIFICATE OF MAILING (37 C.F.R. section 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 4-30-01

Howard J. Greenwald  
(type or print name of person mailing paper)

  
Signature of person mailing paper



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,823	MAY 04 2001 PATENT & TRADEMARK OFFICE	Patrick R. Connelly	PRC-1

27157  
GREENWALD & BASCH, LLP  
SUITE 2490  
349 WEST COMMERCIAL STREET  
EAST ROCHESTER, NY 14445

CONFIRMATION NO. 5777

## FORMALITIES LETTER



\*OC000000005979413\*

Date Mailed: 04/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Michael L. Weiner*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/07/2001 HABRHANI 00000018 501706 09800823

01 FC:205 65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Adjustment date: 06/06/2001 NHASSANI  
05/07/2001 HABRHANI 00000018 501706 09800823  
01 FC:205 65.00 CR



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APR 23 2001

GREENWALD &amp; BASCH

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE